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PTO/SB/21 (09-04)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/630,304
	Filing Date	July 30, 2003
	First Named Inventor	Junichi ASADA, et al.
	Art Unit	2653
	Examiner Name	Bach Q. Vuong
Total Number of Pages in This Submission 8	Attorney Docket No.	MTS-3195US1

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Request for Refund	> Return postcard
	<input type="checkbox"/> CD, Number of CD(s) _____	> Copies of IDS and PTO 1449 filed 07/30/03 and returned postcard
	<input type="checkbox"/> Landscape Table on CD	
Remarks:		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm Name	RatnerPrestia		
Signature	<i>Daniel N. Calder</i>		
Printed Name	Daniel N. Calder		
Date	January 5, 2005	Reg. No.	27,424

CERTIFICATE OF TRANSMISSION/MAILING			
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Signature	<i>Fran Petrillo</i>		
Typed or Printed Name	Fran Petrillo	Date	January 5, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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Application No.: 10/630,304

MTS-3195US1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/630,304
Applicant: Junichi ASADA, et al.
Filed: July 30, 2003
Title: OPTICAL HEAD APPARATUS
TC/A.U.: 2653
Examiner: Bach Q. Vuong
Confirmation No.: 9114
Docket No.: MTS-3195US1

**PETITION UNDER 37 C.F.R. §1.181 FOR
CONSIDERATION OF INFORMATION DISCLOSURE STATEMENT**

Mail Stop Issue Fee

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R :

Applicants timely and properly filed an Information Disclosure Statement (IDS) on July 30, 2003. The IDS included a first sheet identifying U.S. patent documents and foreign documents, as well as a second sheet including non-patent literature documents. The Examiner acknowledged and returned to Applicants the first sheet of the IDS, but not the second sheet. Applicants therefore request that the Examiner return and acknowledge the second sheet of the IDS of July 30, 2003 (copy of which is enclosed).

It is respectfully requested that issuance of the above-identified application be suspended until the IDS has been considered.

Respectfully submitted,

RatnerPrestia



Daniel N. Calder, Reg. 27,424
Attorney for Applicants

DNC/fp

Enclosures: returned postcard
Information Disclosure Statement
PTO-1449 as previously filed

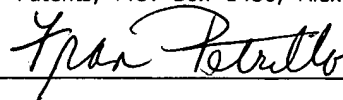
Dated: January 5, 2005

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P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

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January 5, 2005





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FILE NO: MAT (MTS) 3195 US 1 FEE DUE? NO or YES: \$ 750.00

NAME: JUNICHI ASADA, ET AL.

- | | |
|---|---|
| <input checked="" type="checkbox"/> IDS and PTO Form 1449 (<u>4</u> pp.) (Supplemental: YES or NO) | <input type="checkbox"/> Copy of Search Report |
| <input type="checkbox"/> Copy of <u>reference(s)</u> | <input type="checkbox"/> Issued Claims (pp.) & Diskette |
| <input type="checkbox"/> Status Request | <input checked="" type="checkbox"/> Preliminary Amendment |
| <input type="checkbox"/> Claim To Right of Priority | <input type="checkbox"/> Amendment (pp) |
| <input type="checkbox"/> Response to Restriction Requirement | <input type="checkbox"/> Copy of Formalities Letter (371) |
| <input type="checkbox"/> Response to Missing Parts | <input type="checkbox"/> RCE Transmittal |
| <input type="checkbox"/> Form 1390 | <input checked="" type="checkbox"/> Certificate of Express Mailing |
| <input checked="" type="checkbox"/> Declaration/POA (<u>3</u> pp.) <u>PRELIMINARY</u> | <input type="checkbox"/> Priority Document(s) (PRT/FID) |
| <input type="checkbox"/> Assignment & Form 1595 (pp.) | <input type="checkbox"/> Petition for Ext. of Time (mo.) |
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| <input type="checkbox"/> Petition to Make Special / For Revival | <input type="checkbox"/> Issue Fee and Publication |
| <input type="checkbox"/> Submission of Formal Drawings | <input checked="" type="checkbox"/> <u>13</u> Sheets of Drawings (Formal) |
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USSN: _____

DIVISIONAL - NEW PATENT APPLICATION



MTS-3195US1



COPY

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: To Be Assigned
Applicant: Junichi Asada et al.
Filed: Herewith
Title: OPTICAL HEAD APPARATUS
TC/A.U.:
Examiner:
Confirmation No.:
Docket No.: MTS-3195US1

DIVISIONAL OF:

Appln. No: 09/553,100
Applicant: Junichi Asada et al.
Filed: April 20, 2000
Title: OPTICAL HEAD APPARATUS
TC/A.U.: 2653
Examiner: Bach Q. Vuong
Confirmation No.: 4546
Docket No.: MTS-3195US

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Under 37 C.F.R. § 1.98(d), copies of the patents and publications listed on the enclosed PTO Form 1449 are not required to be provided, because they were cited by or

submitted to the Patent and Trademark Office in prior application Serial No. 09/553,100, filed April 20, 2000, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,



Allan Rather, Reg. No. 19,717
Attorney for Applicants

AR:ds

Enclosures: PTO Form 1449

Dated: July 30, 2003

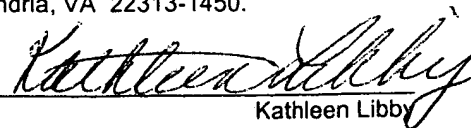
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(610) 407-0700

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Kathleen Libby

DS_1:\MTS\3195US1\IDS_01.DOC

Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Junichi Asada et al.
Art Unit	2653
Examiner Name	BACH VUONG
Attorney Docket No.	MTS-3195US1

U.S. PATENT DOCUMENTS*

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
BV		US-5,233,595	08-1993	Kawakubo, Isao	Entire Document
BV		US-5,373,519	12-1994	Siono et al	Entire Document
BV		US-5,500,846	03-1996	Ophey, Willem G.	Entire Document
BV		US-5,600,621	02-1997	Noda et al	Entire Document
BV		US-5,801,402 (with counterpart CN 1159048A)	09-01-1998	Shin	Entire Document
BV		US-6,084,844 (with counterpart CN 1194434A)	07-04-2000	Takeda	Entire Document
BV		US-6,463,023	10-2002	Miura, Masafumi	Entire Document
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
BV		CN 1159048A (with counterpart USPN 5,801,402)	09/10/1997		Entire Document	<input type="checkbox"/>
BV		CN 1194434A (with counterpart USPN 6,084,844)	09/30/1998		Entire Document	<input type="checkbox"/>
BV		06290477 Japan (with English Abstract)	10/18/1994		Entire Document	<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>

Examiner Signature

Bach Vuong

Date Considered

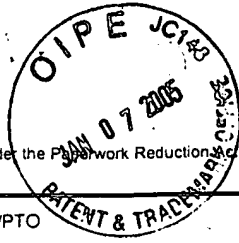
07/06/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Junichi Asada, et al
Art Unit	
Examiner Name	
Attorney Docket No.	MTS-3195US1

SHEET 2 of 2

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		Chinese Official Action dated December 27, 2002, for Application No. 00106981.0 with English translation	<input checked="" type="checkbox"/>
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

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